# Data Structures - Week \#10 

## Graphs \& Graph <br> Algorithms

## Outline

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- Definitions
- Representation of Graphs
- Topological Sort
- Breadth-First Search (BFS)
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- Prim's Algorithm
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## Graphs \& Graph Algorithms

## Motivation

- Graphs are useful structures for solving many problems computer science is interested in including but not limited to
- Computer and telephony networks
- Game theory
- Implementation of automata


## Graph Definitions

- A graph $G=(V, E)$ consists a set of vertices $V$ and a set of edges $E$.
- An edge $(v, w) \in E$ has a starting vertex $v$ and and ending vertex $w$. An edge sometimes is called an arc.
- If the pair is ordered, then the graph is directed. Directed graphs are also called digraphs.
- Graphs which have a third component called a weight or cost associated with each edge are called weighted graphs.


## Adjacency Set and Being Adjacent

- Vertex v is adjacent to $u$ iff $(u, v) \in E$. In an undirected graph with $e=(u, v), u$ and $v$ are adjacent to each other.
- In Fig. 6.1, the vertices $v, w$ and $x$ form the adjacency set of $u$ or

$$
\operatorname{Adj}(u)=\{v, w, x\} .
$$

## More Definitions

- A cycle is a path such that the vertex at the destination of the last edge is the source of the first edge.
- A digraph is acyclic iff it has no cycles in it.
- In-degree of a vertex is the number of edges arriving at that vertex.
- Out-degree of a vertex is the number of edges leaving that vertex.


## Path Definitions

- A path in a graph is a sequence of vertices $w_{1}, w_{2}$, $\ldots, w_{n}$ where each edge $\left(w_{i}, w_{i+1}\right) \in E$ for $l \leq i<n$.
- The length of a path is the number of edges on the path, (i.e., $n-1$ for the above path). A path from a vertex to itself, containing no edges has a length 0 .
- An edge $(v, v)$ is called a loop.
- A simple path is one in which all vertices, except possibly the first and the last, are distinct.


## Connectedness

An undirected graph is connected if there exists a path from every vertex to every other vertex.

- A digraph with the same property is called strongly connected.
- If a digraph is not strongly connected, but the underlying graph (i.e., the undirected graph with the same topology) is connected, then the digraph is said to be weakly connected.
- A graph is complete if there is an edge between every pair of vertices.


## Representation of Graphs

- Two ways to represent graphs:
- Adjacency matrix representation
-Adjacency list representation


## Adjacency Matrix Representation

- Assume you have $n$ vertices.
- In a boolean array with $n^{2}$ elements, where each element represents the connection of a pair of vertices, you assign true to those elements that are connected by an edge and false to others.
- Good for dense graphs!
- Not very efficient for sparse (i.e., not dense) graphs.
- Space requirement: $O\left(|V|^{2}\right)$.


## Adjacency matrix re (AMR)



|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | $\infty$ | 7 | $\infty$ | 8 | 9 | $\infty$ | $\infty$ | 3 | $\infty$ |
| 2 | $\infty$ | $\infty$ | 5 | $\infty$ | $\infty$ | 4 | 9 | $\infty$ | 3 |
| 3 | $\infty$ | $\infty$ | $\infty$ | 6 | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ |
| 4 | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ | 5 |
| 5 | $\infty$ | 4 | 7 | $\infty$ | $\infty$ | 8 | 3 | 6 | $\infty$ |
| 6 | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ | 7 |
| 7 | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ | 4 | 6 |
| 8 | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ | 1 |
| 9 | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ | $\infty$ | 3 |

Disadvantage: Waste of space for sparse graphs Advantage: Fast access

## Adjacency List Representation

Assume you have $n$ vertices.

- We employ an array with $n$ elements, where $i^{\text {th }}$ element represents vertex $i$ in the graph. Hence, element $i$ is a header to a list of vertices adjacent to the vertex $i$.
- Good for sparse graphs
- Space requirement: $O(|E|+|V|)$.


## Adjacency list representation (ALR)

array index: source vertex; first number: destination vertex; second number: cost of the corresponding edge


Disadvantage: Sequential search among edges of a node Advantage: Minimum space requirement

## Topological Sort

- Topological sort is an ordering of vertices in an acyclic digraph such that if there is a path from $v_{i}$ to $v_{j}$, then $v_{j}$ appears after $v_{i}$ in the ordering.
- Example: course prerequisite requirements.


## Algorithm for Topological Sort*

```
Void Toposort ()
{
    Queue Q; int ctr=0; Vertex v,w;
    Q=createQueue(NumVertex);
    for each vertex v
        if (indegree[v] == 0) enqueue(v,Q);
    while (!IsEmpty(Q)) {
    v=dequeue(Q); topnum[v]=++ctr;
    for each w adjacent to v
        if (--indegree[w] == 0) enqueue(w,Q);
    }
    if (ctr != NumVertex) report error ('graph cyclic!')
    free queue;
}
*From [2]
```


## An Example to Topological Sort



## An Example to Topological Sort



## An Example to Topological Sort



## An Example to Topological Sort



## An Example to Topological Sort



## An Example to Topological Sort



## An Example to Topological Sort



S
t



| $\mathbf{r}$ | $\mathbf{v}$ | $\mathbf{s}$ | $\mathbf{x}$ | $\mathbf{t}$ | $\mathbf{w}$ | $\mathbf{y}$ | $\mathbf{u}$ |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{T N}$ | $\mathbf{0}$ | 1 | 2 | 3 | 4 | 5 |  |  |

## An Example to Topological Sort



## An Example to Topological Sort

r
s
t


x


| $\mathbf{r}$ | v | s | x | t | w | y | u | z |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{0}$ | 1 | 2 | 3 | 4 | 5 | 6 | 7 |  |

## An Example to Topological Sort

r

s

t

$\mathbf{u}$

$\mathbf{x}$


Q
TN

| $\mathbf{r}$ | v | s | x | t | w | y | u | z |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{0}$ | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |

## Breadth-First Search (BFS)

- Given a graph, $G$, and a source vertex, $s$, breadth-first search (BFS) checks to discover every vertex reachable from $s$.
- BFS discovers vertices reachable from $s$ in a breadth-first manner.
- That is, vertices a distance of $k$ away from $s$ are systematically discovered before vertices reachable from $s$ through a path of length $k+1$.


## Breadth-First Search (BFS)

- To follow how the algorithm proceeds, BFS colors each vertex white, gray or black.
- Unprocessed nodes are colored white while vertices discovered (encountered during search) turn to gray. Vertices processed (i.e., vertices with all neighbors discovered) become black.
- Algorithm terminates when all vertices are visited.


## Algorithm for Breadth-First Search*

BFS(Graph G, Vertex s)
\{
// initialize all vertices
for each vertex $u \in V[G]-\{s\}$ \{ color [u]=white; $\operatorname{dist}[u]=\infty$; from[u]=NULL;
\}
color[s]=gray;
dist[s]=0;
from[s]=NULL;
$\mathrm{Q}=\{ \}$; enqueue $(\mathrm{Q}, \mathrm{s})$;
while (!isEmpty(Q)) \{ u=dequeue(Q); for each $v \in \operatorname{Adj}[u]$
if (color [v]==white) \{ color[v]=gray; $\operatorname{dist}[v]=\operatorname{dist}[u]+1$; from $[\mathrm{v}]=\mathrm{u}$; enqueue( $\mathrm{Q}, \mathrm{v}$ ); \}
color[u]=black;
\}
\}
*From [1]

## An Example to BFS



## Rest of Example



## Depth-First Search (DFS)

- Unlike in BFS, depth-first search (DFS), performs a search going deeper in the graph.
- The search proceeds discovering vertices that are deeper on a path and looks for any left edges of the most recently discovered vertex $u$.
- If all edges of $u$ are found, DFS backtracks to the vertex $t$ which $u$ was discovered from to find the remaining edges.


## Algorithm for Depth-First Search*

DFS(Graph G, Vertex s) \{
// initialize all vertices
for each vertex $u \in V[G]\{$ color [u]=white; from $[u]=$ NULL;
\}
time $=0$;
for each vertex $u \in V[G]$
if (color [u]==white)
DFS-visit(u);
\}
DFS-visit(u)
\{
color[u]=gray; //u just discovered time++;
d[u]=time;
for each $v \in \operatorname{Adj}[u] / /$ check edge ( $u, v$ ) if (color[v] == white) \{ from $[v]=u$; DFS-visit(v); //recursive call \}
color[u]=black; // $u$ is done processing f[u] = time++;
*From [1]

## Depth-First Search

- The function DFS() is a "manager" function calling the recursive function DFS-visit(u) for each vertex in the graph.
- DFS-visit(u) starts by graying the vertex $u$ just discovered. Then it recursively visits and discovers (and hence grays) all those nodes $v$ in the adjacency set of $u$, $\operatorname{Adj}[u]$. At the end, $u$ is finished processing and turns to black.
- time in DFS-visit(u) time-stamps each vertex $u$ when
$-u$ is discovered using $\mathrm{d}[\mathrm{u}]$
$-u$ is done processing using $\mathrm{f}[\mathrm{u}]$.


## An example to DFS



## Example cont'd...



## Example cont'd...



## End of Example



## Single-Source Shortest Paths (SSSP)

- SSSP Problem:
- Given a weighted digraph $G(V, E)$, we need to efficiently find the shortest path

$$
p^{*}=\left(u_{i}, u_{i+1}, \ldots, u_{j}, \ldots, u_{k-1}, u_{k}\right)
$$

between two vertices $u_{i}$ and $u_{k}$.

- The shortest path $p^{*}$ is the path with the minimum weight among all paths $p_{l}=\left(u_{i}, \ldots, u_{k}\right)$, or

$$
w\left(p^{*}\right)=\min _{l}\left[w\left(p_{l}\right)\right]
$$

## Dijkstra's Algorithm

- Dijkstra's algorithm solves the SSSP problem on a weighted digraph $G=(V, E)$ assuming no negative weights exist in $G$.
- Input parameters for Dijkstra's algorithm
- the graph $G$,
- the weights $w$,
- a source vertex $s$.
- It uses
- a set $V_{F}$ holding vertices with final shortest paths from the source vertex $s$.
- from[u] and dist[ $u$ ] for each vertex $u \in V$ as in BFS.
- A min-heap Q


## Dijkstra's Algorithm

Dijkstra(Graph G, Weights w, Vertex s)
\{
for each vertex $u \in V[G]$ \{ dist $[u]=\infty$; from[u]=NULL; \}
dist [s]=0;
$V_{F}=\varnothing$;
$\mathrm{Q}=$ all vertices $\mathrm{u} \in V$;
while (!IsEmpty(Q)) \{
u=deletemin(Q);
add $u$ to $V_{F}$;
for each vertex $v \in \operatorname{Adj}(u)$
if $(\operatorname{dist}[v]>\operatorname{dist}[u]+w(u, v))\{$ $\operatorname{dist}[v]=\operatorname{dist}[u]+w(u, v))$; from $[v]=u$;
$\stackrel{3}{3 / / \text { end of while }}$
\} //end of function

## Dijkstra's Algorithm - An Example




October 1, 2021
Borahan Tümer, Ph.D.

## Dijkstra's Algorithm - An Example



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Borahan Tümer, Ph.D.

## Resulting Shortest Paths



Note that $r$ is not reachable from $s$ !

## Minimum Spanning Trees (MSTs)

- Problem:
- Given a connected weighted undirected graph $G=(V, E)$, find an acyclic subset $S \subseteq E$, such that $S$ connects all vertices in $G$ and the sum of the weights of the edges in $S$ are minimum.
- The solution to the problem is provided by a minimum spanning tree.


## Minimum Spanning Trees (MSTs)

- MST is
- a tree since it connects all vertices by an acyclic subset of $S \subseteq E$,
- spanning since it spans the graph (connects all its vertices)
- minimum since its weights are minimized.


## Prim's Algorithm

- Prim's algorithm operates similar to Dijkstra's algorithm to find shortest paths.
- Prim's algorithm proceeds always with a single tree.
- It starts with an arbitrary vertex $t$.
- It progressively connects an isolated vertex to the existing tree by adding the edge with the minimum possible weight to the tree.


## Prim's Algorithm

Prim(Graph G, Weights w, Vertex t)
\{
for each vertex $u \in V[G]$ \{ dist $[u]=\infty$; from[u]=NULL;
\}
dist $[\mathrm{t}]=0$;
$V_{F}=\varnothing$;
$\mathrm{Q}=$ all vertices $\mathrm{u} \in V$;
while (!IsEmpty(Q)) \{ $\mathrm{u}=$ deletemin(Q); $\mathrm{O}(\mathrm{VIgV})$ add $u$ to $V_{F}$; for each vertex $v \in \operatorname{Adj}(u) O(E)$ if $(v \in Q$ and $w(u, v)<\operatorname{dist}[v])\{$ $\operatorname{dist}[\mathrm{v}]=\mathrm{w}(\mathrm{u}, \mathrm{v}) ; \mathrm{O}(\mathrm{lgV})$ from $[v]=u$;

\} // end of while
3 //end of function

Running Time: $\mathbf{O}(\mathrm{V} \lg \mathrm{V}+\mathrm{E} \lg \mathrm{V})=\mathrm{O}(\mathrm{E} \lg \mathrm{V})$

## Prim's Algorithm - Example



## Prim's Algorithm - Example



## Prim's Algorithm - Example



## Prim's Algorithm - Example



## Prim's Algorithm - Example



## Prim's Algorithm - Example



## Prim's Algorithm - Example



## Prim's Algorithm - Example



## Prim's Algorithm - Example



## Two different MSTs!!!



## Kruskal's Algorithm

- Kruskal's Algorithm is another greedy algorithm.
- It is about finding the least weight and connecting with that two trees in the forest.
- Initially, there exists a forest of many singlenode trees.


## Kruskal's Algorithm

Kruskal(Graph G,
Weights w)
\{
for each vertex $u \in V[G]\{$ make each vertex to a single-element tree;
\}
sort edges in ascending order by their weight $w_{\text {, }}$
for each edge ( $u, v$ ) $\in E$
if ( $u$ and $v$ are in two different trees) \{ add ( $u, v$ ) to the MST;

O(E IgE)
O(E)
$\operatorname{lgE}=\mathrm{O}(\mathrm{lg} V)$
since $|\mathrm{E}|<|\mathrm{V}|^{2}$ combine both trees;

$\underset{\text { dist }}{\substack{\} \\[u]}}=0$;
return;

## Running Time: $\mathbf{O}(\mathrm{E} \lg \mathrm{E}+\mathrm{E})=\mathbf{O}(\mathrm{E} \lg \mathrm{E})=\mathbf{O}(\mathrm{E} \lg V)$

## Kruskal's Algorithm - Example



## Kruskal's Algorithm - Example



## Kruskal's Algorithm - Example



## Kruskal's Algorithm - Example Two Alternatives



## Kruskal's Algorithm - Example



## Kruskal's Algorithm - Example Two Alternatives



## Kruskal's Algorithm - Example



## Kruskal's Algorithm - Example



Edge not accepted! It builds a cycle!

## Kruskal's Algorithm - Example Two Alternatives



Edge not accepted! It builds a cycle!


## Kruskal's Algorithm - Example Two Alternatives



## Kruskal's Algorithm - Example Two Alternatives



## References

- [1] T.H. Cormen, C.E. Leiserson, R.L. Rivest, C. Stein, "Introduction to Algorithms," $2^{\text {nd }}$ Edition, 2003, MIT Press
- [2] M.A. Weiss, "Data Structures and Algorithm Analysis in C," $2^{\text {nd }}$ Edition, 1997, Addison Wesley

